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November 7, 2002

WRITER'S DIRECT NUMBER: (202) 371-2560 INTERNET ADDRESS:

RECEIVED

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TECH CENTER 1600/2900

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RESMOND@SKGF.COM

Commissioner for Patents Washington, D.C. 20231

> Re: U.S. Utility Patent Application

> > Appl. No. 09/438,365; Filed: November 12, 1999

New Transfection Reagents For:

Inventors:

Chu et al.

Our Ref:

0942.4650001/RWE/THN

Sir:

Transmitted herewith for appropriate action are the following documents:

- 1. Fee Transmittal Form (PTO/SB/17);
- 2. Request for Continued Examination (RCE) Transmittal Form (PTO/SB/30);
- 3. Petition For Extension of Time Under 37 C.F.R. § 1.136(a)(1);
- 4. Our Check No. 37255 in the amount of \$1,140.00 for RCE fee (37 C.F.R. § 1.114) and extension for response within second month fee (37 C.F.R. § 1.17(a)(2)); and
- 5. One (1) return postcard.

It is respectfully requested that the attached postcard be stamped with the date of filing of these documents, and that it be returned to our courier. In the event that extensions of time are necessary to prevent abandonment of this patent application, then such extensions of time are hereby petitioned.

Sterne, Kessler, Goldstein & Fox PLLC. : 1100 New York Avenue, NW : Washington, DC 20005 : 202.371.2600 f 202.371.2540 : www.skgf.com

Commissioner for Patents November 7, 2002 Page 2

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

Robert W. Esmond Attorney for Applicants Registration No. 32,893

Robert V. Smend

Enclosures

SKGF_DC1:72979.1

Sterne, Kessler, Goldstein & Fox PLLC. : 1100 New York Avenue, NW : Washington, DC 20005 : 202.371.2600 f 202.371.2540 : www.skgf.com

PTO/SB/17 (10-01)
Approved for use through 10/31/2002. OMB 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
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FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

(\$)1,140.00

C mplete if Kn wn				
Application Number	09/438,365			
Filing Date	November 12, 1999			
First Named Inventor	Yongliang Chu			
Examiner Name	Epps, J.			
Group Art Unit	1635			
Attorney Docket No.	0942.4650001/RWE/THN			

The Commissioner is hereby authorized to charge indicated fees and credit any overpayment to:	3. ADDIT Large		FEES							
indicated tees and credit any overpayment to.		Entity	Small	Entity						
Deposit Account Number 19-0036	Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee D	escription	ı	Fee paid	_	
Deposit Account Name Sterne, Kessler, Goldstein & Fox P.L.L.C.	105	130	205	65	Surcharge - late filing f	ee or oath		礻		
M	127	50	227	25	Surcharge - late provisiona	I filing fee or cover shee	t .	오		
	139	130	139	130	Non-English specifi	cation				
- Applicant claims small entity status	147	2,520	147	2,520	For filing a request fo	r ex <i>parte</i> reexamin	ation	CENTE	-	
See 37 CFR 1.27	112	920*	112	920*	Requesting publication of action	f SIR prior to Examine	er			
2. ⊠ Payment Enclosed:	113	1,840*	113	1,840*	Requesting publication of	equesting publication of SIR after Examiner action			JHV.	
Check Credit card Money Order Other* *Charge any deficiencies or credit any overpayments in the fees or fee calculations of Parts 1, 2 and 3 below to Deposit Account No. 19-0036.	115	110	215	55	55 Extension for reply within first month			1600/2900	1	
FEE CALCULATION	116	400	216	200	Extension for reply within second month			400.00	1	
1. BASIC FILING FEE	117	920	217	460	Extension for reply within third month				1	
Large Entity Small Entity	118	1,440	218	720	Extension for reply wi	thin fourth month			1	
Fee Fee Fee Fee Description Fee Paid Code (\$) Code (\$)	128	1,960	228	980	Extension for reply wi	ctension for reply within fifth month				
101 740 201 370 Utility filing fee	119	320	219	160	Notice of Appeal				1	
106 330 206 165 Design filing fee	120	320	220	160	Filing a brief in support of an appeal				1	
107 510 207 255 Plant filing fee	121	280	221	140	Request for oral hearing				1	
108 740 208 370 Reissue filing fee	138	1,510	138	1,510	Petition to institute a public use proceeding				1	
114 160 214 80 Provisional filing fee	140	110	240	55	Petition to revive - unavoidable				1	
	141	1,280	241	640	Petition to revive - unintentional				1	
SUBTOTAL (1) (\$)	142	1,280	242	640	Utility issue fee (or reissue)				1	
	143	460	243	230	Design issue fee Plant issue fee				1	
	144	620	244	310					1	
	122	130	122	130	Petitions to the Comm	nissioner			1	
2. EXTRA CLAIM FEES Fee from Extra below Fee Paid	123	130	123]	
Total Claims 20** = X =	126	180	126	180	Submission of Information Disclosure Stmt					
Indep. Claims 3** = X =	581	40	481	40	Recording each patent assignment per property (times number of properties)					
Multiple Dependent =	146	740	246		Filing a submission after final rejection (37 CFR 1.129(a))					
Large Entity Small Entity Fee Fee Fee Fee Fee Description	149	740	249	370	For each additional in (37 CFR 1.129(b))	vention to be exam	ined			
Code (\$) Code (\$) 103 18 203 9 Claims in excess of 20	179	740	279	370	Request for Continued Examination (RCE) 740.00				1	
102 84 202 42 Independent claims in excess of 3 104 280 204 140 Multiple dependent claim	169	900	169	900	Request for expedited examination of a design application				1	
108 84 209 42 **Reissue independent claims over original	Other fee (s	pecify) :							1	
patent 110 18 210 9 "Reissue claims in excess of 20 and over original patent	Other fee (specify):						<u> </u>	†		
SUBTOTAL (2) (\$) 0.00	*Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) 1,140.00						J			
** or number previously paid, if greater; For Reissues, see above SUBMITTED BY		-			238.8.76			·	٦	
Name (Print/Type) Robert W. Esmond		Registration No.			32,893 Telephone 202-371-2600			\dashv		
Signature Robuty. Semond	(Seminals/Semis)					Date	Nov.	72002	\exists	